

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HIROAKI KASHIMA, et al.

Serial No:

Filed:

Title: STATISTICAL LANGUAGE MODEL  
GENERATING DEVICE, SPEECH RECOGNIZING  
DEVICE, STATISTICAL LANGUAGE MODEL  
GENERATING METHOD, SPEECH  
RECOGNIZING METHOD, AND PROGRAM

Group Art Unit:

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

In the event a fee is required in connection with the enclosed Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0451 for the necessary amount.

Respectfully submitted,



Diana L. Roberts  
Registration No. 36,654  
Intellectual Property Law Dept.  
IBM Corporation  
11400 Burnet Road 4054  
Austin, Texas 75758  
Telephone: (512) 823-9667

In Place of FORM PTO-1449 (Modified)  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	ATTORNEY DOCKET NO. <b>JP920030090US1</b>	SERIAL NO.
	Applicant: HIROAKI KASHIMA, et al.	
	Filing Date: JUNE 30, 2003	Group:

Reference Designation		U. S. PATENT DOCUMENTS					
Examiner Initial	Document Number	Publication Date	Inventor(s) Name	Class	Subclass	Filing Date If Appropriate	

FOREIGN DOCKETS		
Examiner Initial		
AA	PUPA H11 (1999)-85183 – "Speech Recognition System and its Apparatus as Well as Storage Medium Recording Speech Recognition Processing Program"	

OTHER ART		
Examiner Initial		

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.